

**Derivatives Service Bureau (DSB)
SUBSCRIPTION FORM – OTC ISIN**

SUBSCRIPTION FORM DATE The date the last party signing the Subscription Form signed it (as specified next to its signature)

VERSION NUMBER [INSERT]

PARTIES

- (1) **Derivatives Service Bureau (DSB) Ltd** (Company No. 10542063), a company incorporated under the laws of England and Wales whose registered office is at Randall House, First Floor, 6 Dowgate Hill, EC4R 2SU, United Kingdom (“**DSB**”); and
 - (2) the entity identified in section B (“**User**”)
- each a “**party**” and together being the “**parties**”.

SECTION A – INTRODUCTION

- 1 The DSB provides DSB Services enabling access to: (i) International Securities Identification Numbers (“**ISINs**”) for OTC Derivatives; and (ii) the set of attributes and values associated with a particular ISIN (or set of ISINs) (“**Reference Data**”) (collectively “**OTC ISIN Services**”).
- 2 In relation to all OTC ISIN Services, “**Data**” means any data transferred to and from the DSB pertaining to ISINs and their associated ISIN Reference Data and other data elements (including data fields and functionality) provided by the DSB to the User in connection with ISINs.
- 3 The User wishes to access and use OTC ISIN Services and Data on behalf of itself and, subject to paragraph 5, its Affiliates and/or End Users (if any).
- 4 This Subscription Form sets out the details of all Subscriptions held by the User for OTC ISIN Services. Each Subscription is a separate agreement between the parties, comprising:
 - (a) the Main Terms, available at <https://www.anna-dsb.com/dsb-policies/>,
 - (b) the Policies, available at <https://www.anna-dsb.com/dsb-policies/>,
 - (c) sections A and B of this Subscription Form; and
 - (d) the details of the relevant Subscription in section C of this Subscription Form.
- 5 The User must specify their organisation type from the list provided in section B of this Subscription Form. Only those User Affiliates that are of the same organisation type as a Category 2 User and are listed in section B shall be entitled to the benefit of the Subscriptions. Affiliates of a Category 1 User are not entitled to the benefit of the Subscriptions.
- 6 Capitalised terms used in this Subscription Form have the meanings given to them in the Main Terms or Policies.
- 7 Any changes to this Subscription Form (including changes to the User details in section B or any of the Subscription Details in section C) shall be made by the parties signing a new version containing the changes. Each new version of this Subscription Form supersedes any previous versions signed by the parties and constitutes an amendment to the terms of all relevant Subscriptions for the purposes of clause 1.5 of the Main Terms. Section D contains a record of all changes to this Subscription Form for reference purposes but does not form part of any Agreement.

SECTION B - USER DETAILS

User name [[INSERT NAME]]

Jurisdiction of incorporation [[COUNTRY SELECTION FIELD]]

Company number [[INSERT NUMBER]]

Registered address [[INSERT ADDRESS]]

User's Legal Entity Identifier (LEI) [[INSERT NUMBER]]

User's organisation type **CATEGORY 1** – Brokerage / Trade Execution Platform – MTF / Trade Execution Platform – OTF / Trade Execution Platform – Other / Trade Execution Platform – SEF / Trade Repository

[OR]

CATEGORY 2 – Bank / Clearing House / Commodity Trading Advisor / Corporate / Custodian / Data Management Provider / Institutional Asset Management Services / Non-financial / Other Financial / Pension Fund Manager / Technology Service Provider / Trade Association / Wealth Management Services]

Address for Notices (see Main Terms, clause [18.1]) **FAO:** [[INSERT NAME], [INSERT POSITION]]
(or their successor in this role)

Physical address: [[INSERT ADDRESS]]

E-mail: [[INSERT E-MAIL]]

[DELETE IF NOT APPLICABLE –

Alternative contact

FAO: [[INSERT NAME], [INSERT POSITION]]
(or their successor in this role)

Physical address: [[INSERT ADDRESS]]

E-mail: [[INSERT E-MAIL]]

Address for Breach Notices (see Main Terms, clause [18.3]) **FAO:** [[INSERT NAME], [INSERT POSITION]]
(or their successor in this role)

Physical address: [[INSERT ADDRESS]]

E-mail: [[INSERT E-MAIL]]

[DELETE IF NOT APPLICABLE –

Alternative contact

FAO: [[INSERT NAME], [INSERT POSITION]]

(or their successor in this role)

Physical address: [[INSERT ADDRESS]]

E-mail: [[INSERT E-MAIL]]

Affiliate(s)

[[N/A IF NOT APPLICABLE]]

| Name | Legal Entity Identifier (LEI) | Category 2 Organisation Type |
|-----------------|-------------------------------|---|
| [[INSERT NAME]] | [[INSERT LEI]] | [[TO BE PRE-POPULATED BASED ON USER ORGANISATION TYPE]] |
| | | |
| | | |
| | | |

SECTION C - SUBSCRIPTION DETAILS

| | |
|-----------------------------------|--|
| DSB Service type | OTC ISIN Services |
| User type | [[SELECT CATEGORY – Infrequent User / Standard User / Search-only API User / UAT-only User / Power User / <u>Distributor</u> / Intermediary / <u>UAT-only User</u>]] |
| Commencement Date | [[INSERT DATE]] |
| Additional terms | [[THE DSB TO INSERT IF APPLICABLE]] |
| Status | [[SELECT – Current / Terminated]] |
| Termination effective date | [[SELECT – Not applicable / [INSERT DATE]] |

[ADD ADDITIONAL SUBSCRIPTIONS IF APPLICABLE WITH THE SAME DETAILS AS ABOVE]

SECTION D – RECORD OF AMENDMENTS TO SUBSCRIPTION FORM

| Version | Subscription Form Date | Change Details |
|---------|---------------------------|----------------|
| | | |
| | | |

THIS SUBSCRIPTION FORM HAS BEEN ENTERED INTO ON THE SUBSCRIPTION FORM DATE.

Signed by:
For and on behalf of
DSB

Position: DSB Board Member
Date:

Signed by:
For and on behalf of
[USER NAME]

Position:
Date: |